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| FORM PTO-1390 (REV 12-2004) | | ATTORNEY DOCKET NUMBER 4403-4000US6 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.51) To Be Assigned 10/523031 |
| INTERNATIONAL APPLICATION PCT/AU2003/000994 | INTERNATIONAL FILING DATE 6 August 2003 (06.08.03) | PRIORITY DATE CLAIMED 7 August 2002 (07.08.02) |
| TITLE OF INVENTION APPARATUS, METHOD AND SYSTEM FOR A SYNCHRONICITY INDEPENDENT, RESOURCE DELEGATING, POWER AND INSTRUCTION OPTIMIZING PROCESSOR | | |
| APPLICANT(S) FOR DO/EO/US Daniel Shane O'Sullivan | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected. (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or Information: <p style="margin-left: 20px;">Published PCT Application WO 2004/015572; Copy of International Search Report (Form PCT/ISA/210); Copy of PCT Demand (Form PCT/IPEA/401); Copy of International Preliminary Examination Report (Form PCT/IPEA/409); Copy of Written Opinion (Form PCT/IPEA/408); checks in the amounts of \$1000.00 and \$40.00; Verified Certification of Express Mailing and return receipt postcard.</p> | | |

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| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) 10/523031 | | INTERNATIONAL APPLICATION NO. PCT/AU03/000994 | | ATTORNEY'S DOCKET NO. 4403-4000US6 | |
| To Be Assigned | | | | | |
| 21. The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> a) Basic national fee | | \$300.00 | | \$ 300.00 | |
| <input checked="" type="checkbox"/> b) Examination fee | | \$200.00 | | \$ 200.00 | |
| <input checked="" type="checkbox"/> c) Search fee | | \$500.00 | | \$ 500.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | \$1,000.00 | | \$ 1,000.00 | |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 273 - 100 = | 173/50 = | 4 | x \$250.00 | \$1000.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 15 - 20 = | 0 | x \$50.00 | \$0 | |
| Independent claims | 1 - 3 = | 0 | x \$200.00 | \$0 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360.00 | \$0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,000.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$1,000.00 | |
| SUBTOTAL = | | | | \$1,000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | \$0 |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | \$40.00 |
| TOTAL FEES ENCLOSED = | | | | \$1,040.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ |
| <p>a. <input checked="" type="checkbox"/> Checks in the amounts of <u>\$1,000.00</u> and <u>\$40.00</u> to cover the above fees are enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>13-4500</u> in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4500</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| <p>Enter Address Here Morgan & Finnegan LLP Three World Financial Center New York, NY 10281-2101</p> <p>Tel.: (212) 415-8700 Facsimile: (212) 415-8701</p> | | | | | |
| <p> Signature <i>Feb 2, 2005</i></p> <p>Richard Straussman Name</p> <p>39,847 Registration Number</p> | | | | | |

IN THE UNITED STATES

☐ RECEIVING OFFICE (RO/US)
☐ DESIGNATED OFFICE (DO/US)
☒ ELECTED OFFICE (EO/US)

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TITLE OF INVENTION

APPARATUS, METHOD AND SYSTEM FOR A SYNCHRONICITY INDEPENDENT, RESOURCE DELEGATING, POWER AND INSTRUCTION OPTIMIZING PROCESSOR

APPLICANT(S)

Daniel Shane O'Sullivan

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE (37 CFR 1.10(c))
(ENTRY INTO NATIONAL PHASE FOR INTERNATIONAL APPLICATION)**

I declare that on **February 2, 2005** I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number **EV455192558US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and having an express mail certification which I executed, the following papers:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 (2 pages);
2. Copy of Published International Application WO 2004/015572 A1 (273 pages);
3. Copy of PCT International Search Report (Form PCT/ISA/210 (7 pages));
4. Copy of PCT Demand (Form PCT/IPEA/401 (3 pages);
5. Copy of International Preliminary Examination Report (Form PCT/IPEA/409) (7 pages);
6. Copy of Written Opinion (Form PCT/IPEA/408) (6 pages);
7. Executed Combined Declaration and Power of Attorney (8 pages);
8. Recordation Form Cover Sheet (2 pages);
9. Executed Assignment (2 pages)
10. Preliminary Amendment (4 pages);
11. Checks in the amounts of \$1,000.00 and \$40.00; and
12. Return receipt postcard.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Jafet Cotto

(Typed or printed name of person making this verified statement)

Date: February 2, 2005

(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])